# **Closed-Form Matting**

#### CVFX @ NTHU

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# The Paper

#### > A Closed Form Solution to Natural Image Matting

- > Levin, Lischinski, and Weiss
- > CVPR 2006

### **Compositing Equation**



natural matting:

 $\alpha_i$ ,  $F_i$ , and  $B_i$  are unknowns

for a 3 channel color image at each pixel there are 3 equations and 7 unknowns Alpha Matting of Grayscale Images

- Assumption: both F and B are approximately constant over a small window around each pixel
  - > Locally smooth  $\rightarrow$  linear relation

$$\alpha_i \approx aI_i + b, \quad \forall i \in w - a \text{ small window}$$
  
 $a = \frac{1}{F-B} \quad b = -\frac{B}{F-B}$ 





> Minimize the cost function

$$J(\alpha, a, b) = \sum_{j \in I} \left( \sum_{i \in w_j} \left( \alpha_i - a_j I_i - b_j \right)^2 + \epsilon a_j^2 \right)$$

where  $w_j$  is a small window around pixel j

a regularization term on a:

minimizing the norm of a biases the solution towards smoother  $\alpha$  mattes  $\alpha_i \approx aV_i + b$ ,  $\forall i \in w$ 

 $a\ll {\rm O}$  implies that F and B are very different



$$J(\alpha, a, b) = \sum_{j \in I} \left( \sum_{i \in w_j} \left( \alpha_i - a_j I_i - b_j \right)^2 + \epsilon a_j^2 \right)$$

$$J(\alpha, a, b) = \sum_{j \in I} \left( \sum_{i \in w_j} \left( \alpha_i - a_j I_i - b_j \right)^2 + \epsilon a_j^2 \right)$$

$$J(\alpha, a, b) = \sum_{k} \left\| \begin{pmatrix} I_1 & 1 \\ \vdots & \vdots \\ I_{|w_k|} & 1 \\ \sqrt{\epsilon} & 0 \end{pmatrix} \begin{pmatrix} a_k \\ b_k \end{pmatrix} - \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_{|w_k|} \\ 0 \end{pmatrix} \right\|^2$$

$$J(\alpha, a, b) = \sum_{k} \left\| G_{k} \left[ \begin{array}{c} a_{k} \\ b_{k} \end{array} \right] - \bar{\alpha}_{k} \right\|^{2}$$

> For a given alpha matte the optimal pair  $a_k^*, b_k^*$ inside each window  $w_k$  is the solution to the least squares problem

$$(a_k^*, b_k^*) = \operatorname{argmin} \left\| G_k \begin{bmatrix} a_k \\ b_k \end{bmatrix} - \bar{\alpha}_k \right\|^2$$
$$= (G_k^T G_k)^{-1} G_k^T \bar{\alpha}_k$$

# Substituting

 $a_k^*, b_k^*$ 

$$\begin{pmatrix} a_k^* \\ b_k^* \end{pmatrix} = (G_k^T G_k)^{-1} G_k^T \bar{\alpha}_k$$
$$J(\alpha, a^*, b^*) = \sum_k \left\| G_k \begin{pmatrix} a_k^* \\ b_k^* \end{pmatrix} - \bar{\alpha}_k \right\|^2$$

$$J(\alpha) = \sum_{k} \left\| \left( G_k (G_k^T G_k)^{-1} G_k^T - \mathbf{I} \right) \bar{\alpha}_k \right\|^2$$
$$= \sum_{k} \bar{\alpha}_k^T \bar{G}_k^T \bar{G}_k \bar{\alpha}_k$$

$$\bar{G}_k = \mathbf{I} - G_k (G_k^T G_k)^{-1} G_k^T$$

$$\bar{G}_k^T \bar{G}_k = (\mathbf{I} - G_k (G_k^T G_k)^{-1} G_k^T)^T (\mathbf{I} - G_k (G_k^T G_k)^{-1} G_k^T)$$
$$= \mathbf{I} - G_k (G_k^T G_k)^{-1} G_k^T$$
$$G_k = \begin{pmatrix} I_1 & 1\\ \vdots & \vdots\\ I_{|w_k|} & 1\\ \sqrt{\epsilon} & 0 \end{pmatrix}$$

the (i, j)-th element of  $\mathbf{I} - G_k (G_k^T G_k)^{-1} G_k^T$  is

$$\delta_{ij} - \frac{1}{|w_k|} \left( 1 + \frac{1}{\frac{\epsilon}{|w_k|} + \sigma_k^2} (I_i - \mu_k) (I_j - \mu_k) \right)$$

$$\begin{aligned} \text{The } (i,j) \text{ element} \\ & \left( \mathbf{I} - G_k \left( G_k^T G_k \right)^{-1} G_k^T \right)_{ij} \\ & = \delta_{ij} - (I_i \ 1) \left( \begin{array}{c} \sum_{n}^{|w_k|} I_n^2 + \epsilon \ \sum_{n}^{|w_k|} I_n \\ \sum_{n}^{|w_k|} I_n \ |w_k| \end{array} \right)^{-1} \left( \begin{array}{c} I_j \\ 1 \end{array} \right) \\ & \mathbf{I} = \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix} \\ & \left( \begin{array}{c} I_1 & 1 \\ I_2 \ 1 \\ \vdots \\ I_{|w_k|} \ 1 \\ \sqrt{\epsilon} \ 0 \end{array} \right) \left\{ \begin{pmatrix} I_1 & I_2 \ \cdots \ I_{|w_k|} \ \sqrt{\epsilon} \\ 1 \ 1 \ 1 \ 0 \end{array} \right) \left( \begin{array}{c} I_1 \ 1 \\ I_2 \ 1 \\ \vdots \\ I_{|w_k|} \ 1 \\ \sqrt{\epsilon} \ 0 \end{array} \right) \right\}^{-1} \left( \begin{array}{c} I_1 \ I_2 \ \cdots \ I_{|w_k|} \ \sqrt{\epsilon} \\ 1 \ 1 \ 1 \ 0 \end{array} \right) \end{aligned} \right. \end{aligned}$$

## Compute the Inverse

$$\begin{pmatrix} \sum_{n}^{|w_k|} I_n^2 + \epsilon & \sum_{n}^{|w_k|} I_n \\ \sum_{n}^{|w_k|} I_n & |w_k| \end{pmatrix}^{-1} \\ = \frac{\begin{pmatrix} |w_k| & -\sum_{n}^{|w_k|} I_n \\ -\sum_{n}^{|w_k|} I_n & \sum_{n}^{|w_k|} I_n^2 + \epsilon \end{pmatrix}}{|w_k| \sum_{n}^{|w_k|} I_n^2 + \epsilon |w_k| - (\sum_{n}^{|w_k|} I_n)^2}$$

$$=\frac{|w_k|\left(\begin{array}{cc}1 & -\mu_k \\ -\mu_k & \sum_{n=1}^{|w_k|} I_n^2/|w_k| + \epsilon/|w_k|\right)}{|w_k|^2 \sigma_k^2 + \epsilon |w_k|}$$

$$= \frac{1}{|w_k|\sigma_k^2 + \epsilon} \left( \begin{array}{cc} 1 & -\mu_k \\ -\mu_k & \sum_l^{|w_k|} I_n^2/|w_k| + \epsilon/|w_k| \end{array} \right)$$

The (i, j) element

$$\begin{split} & \left(\mathbf{I} - G_k \left(G_k^T G_k\right)^{-1} G_k^T\right)_{ij} \\ &= \delta_{ij} - (I_i \ \mathbf{1}) \left( \begin{array}{c} \sum_{n}^{|w_k|} I_n^2 + \epsilon & \sum_{n}^{|w_k|} I_n \\ \sum_{n}^{|w_k|} I_n & |w_k| \end{array} \right)^{-1} \left( \begin{array}{c} I_j \\ \mathbf{1} \end{array} \right) \\ &= \delta_{ij} - (I_i \ \mathbf{1}) \frac{1}{|w_k| \sigma_k^2 + \epsilon} \left( \begin{array}{c} 1 & -\mu_k \\ -\mu_k & \sum_{n}^{|w_k|} I_n^2 / |w_k| + \epsilon / |w_k| \end{array} \right) \left( \begin{array}{c} I_j \\ \mathbf{1} \end{array} \right) \\ &= \delta_{ij} - \frac{1}{|w_k| \sigma_k^2 + \epsilon} \left( I_i I_j - I_i \mu_k - I_j \mu_k + \frac{\sum_{n}^{|w_k|} I_n^2 + \epsilon}{|w_k|} \right) \\ &= \delta_{ij} - \frac{1}{|w_k| \sigma_k^2 + \epsilon} \left( I_i I_j - I_i \mu_k - I_j \mu_k + \mu_k^2 + \frac{\sum_{n}^{|w_k|} I_n^2}{|w_k|} - \mu_k^2 + \frac{\epsilon}{|w_k|} \right) \\ &= \delta_{ij} - \frac{1}{|w_k| \sigma_k^2 + \epsilon} \left( (I_i - \mu_k) (I_j - \mu_k) + \sigma_k^2 + \frac{\epsilon}{|w_k|} \right) \\ &= \delta_{ij} - \frac{1}{|w_k|} \left( 1 + \frac{1}{\sigma_k^2 + \epsilon / |w_k|} (I_i - \mu_k) (I_j - \mu_k) \right) \end{split}$$



$$J(\alpha) = \alpha^T L \ \alpha$$

L is a large sparse N-by-N matrix whose (i, j) element is

$$\sum_{k|(i,j)\in w_k} \left( \delta_{ij} - \frac{1}{|w_k|} \left( 1 + \frac{1}{\sigma_k^2 + \epsilon/|w_k|} (I_i - \mu_k) (I_j - \mu_k) \right) \right)$$

 ${\cal N}$  is the number of pixels in the image

### **Color Images**

color line model

$$\alpha_i \approx \sum_c a^c I_i^c + b$$

sum over color channels

 $F_{i} = \beta_{i}^{F} F_{1} + (1 - \beta_{i}^{F}) F_{2} \longleftarrow \text{ linear mixture of two colors}$  $B_{i} = \beta_{i}^{B} B_{1} + (1 - \beta_{i}^{B}) B_{2}$ 

 $I_i^c = \alpha_i (\beta_i^F F_1^c + (1 - \beta_i^F) F_2^c) + (1 - \alpha_i) (\beta_i^B B_1^c + (1 - \beta_i^B) B_2^c)$ 

$$H\begin{bmatrix}\alpha_i\\\alpha_i\beta_i^F\\(1-\alpha_i)\beta_i^B\end{bmatrix} = I_i - B_2 \qquad \qquad J(\alpha) = \sum_k \bar{\alpha}_k^T \bar{G}_k^T \bar{G}_k \bar{\alpha}_k$$

## Color Line Model



# Alpha Matting of Color Images

$$J(\alpha, a, b) = \sum_{j \in I} \left( \sum_{i \in w_j} \left( \alpha_i - \sum_c a_j^c I_i^c - b_j \right)^2 + \epsilon \sum_c a_j^{c^2} \right)$$

$$J(\alpha) = \alpha^T L \ \alpha$$

L is a large sparse N-by-N matrix whose (i, j) element is

$$\sum_{k|(i,j)\in w_k} \left( \delta_{ij} - \frac{1}{|w_k|} \left( 1 + (I_i - \mu_k)^T (\boldsymbol{\Sigma}_k + \frac{\epsilon \mathbf{I}_3}{|w_k|})^{-1} (I_j - \mu_k) \right) \right)$$

where N is the number of pixels in the image,  $\Sigma_k$  is a 3-by-3 covariance matrix and  $\mu_k$  a 3-by-1 mean vector of the colors in a window  $w_k$ , and  $I_3$  is the 3-by-3 identity matrix.

 $\alpha_i \approx \sum_c a^c I_i^c + b$ 



**Constraints and User Interface** 

$$\alpha = \underset{\uparrow}{\operatorname{argmin}} \alpha^T L \alpha + \lambda (\alpha^T - b_S^T) D_S (\alpha - b_S)$$

vector of scribbles0: background1: foreground

diagonal matrix 1: constrained pixels

solving the sparse linear system

$$(L + \lambda D_S)\alpha = \lambda b_S$$

### Reconstructing *F* and *B*

- > Introducing some smoothness priors
- The smoothness priors are stronger in the presence of matte edges